



PATENT  
Docket No. 150.0111 0101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): UHLENBROCK et al. ) Group Art Unit: 2822  
Serial No.: 10/032,357 ) Examiner: M. GUERRERO  
Confirmation No.: 4965 )  
Filed: December 21, 2001 )  
For: METHODS FOR PLANARIZATION OF GROUP VIII METAL-CONTAINING SURFACES USING OXIDIZING GASES

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with C.F.R. §§ 1.97 *et. seq.*, the materials enclosed herewith are brought to the attention of the Examiner as possibly being of interest in connection with the above-identified patent application. Per M.P.E.P. § 609, the information cited in the present Information Disclosure Statement shall not be construed to be an admission that the information is, or is considered to be, material to patentability. Consideration of each of the documents listed on the attached 1449 form(s) is respectfully requested. Pursuant to the provisions of M.P.E.P. §609, Applicants further request that a copy of the 1449 form(s), marked as being considered and initialed by the Examiner, be returned with the next Official Communication.

Applicants also wish to bring the Examiner's attention to the following pending U.S. Applications, as well as any documents, Office Actions that may include rejections of similar claims, and any provisional U.S. patent applications referenced in the pending U.S. applications or in their file wrappers. A copy of each of the below-listed pending U.S. Patent Applications is provided herewith.

10/22/2003 NGUYEN 00000035 10032357

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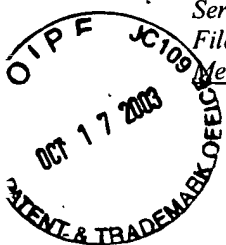
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Serial No. 10/032,357

Filed: December 21, 2001

Methods for Planarization of Group VIII Metal-Containing Surfaces Using Oxidizing Gases

**List of Pending Non-Published U.S. Patent Applications**

Applicant(s)	Application Number	Filing Date	Serial No. of Provisional Application to which listed Application claims priority
WESTMORELAND	10/314,887	12/09/02	

Since this Information Disclosure Statement is submitted after the receipt of an Office Action in the above-identified patent application, Applicants have included the fee of \$180 under 37 C.F.R. §§1.97(c) and 1.17(p). Please charge any additional fees or credit any overpayment to Deposit Account No. 13-4895.

The Examiner is invited to contact Applicant's Representatives at the below-listed telephone number, if they can be of any assistance during prosecution of the present application.

**CERTIFICATE UNDER 37 C.F.R. 1.8:**

The undersigned hereby certifies that this paper is being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Assistant Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 14 day of Oct., 2003.

Name:

Ann M. Mueting

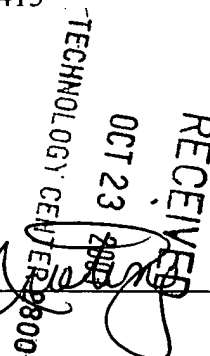
Respectfully submitted for  
**UHLENBROCK et al.**

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October 14, 2003  
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AMM/sjt

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**INFORMATION  
DISCLOSURE  
STATEMENT**

Atty. Docket No.: 150.01110101

Serial No.: 10/032,357

Applicant(s): UHLENBROCK et al.

Confirmation No.: 4965

Application Filing Date: 12/21/01

Group: 2822

Information Disclosure Statement mailed:

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	4,035,500	7/1977	Dafter, Jr.			
	4,297,436	10/1981	Kubotera et al.			
	4,670,306	6/1987	Salem			
	5,378,492	1/1995	Mashiko			
	5,480,854	1/1996	Rajaram et al.			
	6,015,506	1/2000	Streinz et al.			
	6,143,192	11/07/00	Westmoreland			
	6,451,214	09/17/02	Westmoreland			
	6,527,515	03/04/03	Kunisawa et al.			
	6,537,462	03/25/03	Westmoreland			
	6,589,100	07/08/23	Moeggenborg et al.			
	2001/0023701 A1	9/27/2001	Aoki et al.			
	2002/0019088 A1	2/14/2002	Basceri et al.			
	2002/0111027 A1	08/15/02	Sachan et al.			
	2003/0121891 A1	07/03/03	Westmoreland			

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No

**OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)**

Examiner Initial	Document Description

**EXAMINER****Date Considered**

\*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.